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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/920,943	08/03/2001	Mark Lynch	P67024US0	5857

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REED SMITH LLP  
2500 ONE LIBERTY PLACE  
PHILADELPHIA, PA 19103-7301

EXAMINER

LIN, KELVIN Y

ART UNIT PAPER NUMBER

2142

DATE MAILED: 03/14/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/920,943

Applicant(s)

LYNCH ET AL.

Examiner

Kelvin Lin

Art Unit

2142

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 08 December 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,6-18,20 and 21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,6-18,20 and 21 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)             | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

## Detailed Action

### *Response to Remarks*

1. The Application's arguments with respect to claims 1, 6, 7-11, 12-18, 20-21 have been considered but are not persuasive .

2. Regarding claim 1, applicant argues that Jamtgaard accepts any input format, and has no dependency on, or use for, special annotations.

The Office respectfully disagrees.

Jamtgaard discloses in col.13, l.30-64, an atomic may be a paragraph of text, a heading, a link to a news story, a picture, etc. Atomics may be grouped together to reveal relationships between them. As illustrated in Fig. 11a, and 11b the layout engine gathers related atomics and groups them together to constitutes group 172. As in Fig. 9, Layout engine also maps HTML pages into RML object, which use the tags technology to implement for his invention. Therefore, Jamtgaard does accepts dependency on, or use for, special properties of the content as Figs. 11.

3. Additionally, In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies "annotated web content" is not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988

F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

## **Response to Amended Claims**

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. Claims 1, 6, 7-11, 12-18, 20-21 are rejected under 35 U.S.C 103(a) as being unpatentable over Jamtgaard et al.,(U.S. Patent 6430624). in view of Wanderski et al., (US Patent No. 6519617).
2. Regarding claim 1, Jamtgaard teaches an e-business mobility platform comprising:
  - a request handler interface for communicating with a user devices to receive a request for content and for downloading the content to the requesting user device in a format suitable for the user device, (Jamtgaard, col.7, l.30-45).

- a content interface for communicating with content servers to retrieve requested content (Jamtgaard, col.7, l.35-40, col.9, l.48-51),
- a transformation engine for dynamically transforming content received from the content server in real time to a suitable format for the user device, and for routing the transformed content to the request handler interface for download to the requesting user device to complete a transaction initiated by the request for content, the transformation engine performing said transformation according to (Jamtgaard, col.10, l.48-67, col.11, l.1-3) –
- intention tag which are in the content and which capture non-presentation properties of the content as intended by an author, including indicating relationship between blocks of content to be preserved in the transformed content (Jamtgaard, fig.9, figs. 11, col.11, l.50-61, col.13, l.37-64).

Although, Jamtgaard discloses the customized to the device type (Jamtgaard, col.4, l.65-67), Jamtgaard does not specifically to apply user preferences to device format.

However, Wanderski discloses:

- Task tag which are in the content and which indicate blocks of the content which are optional or alternative for user device types (Wanderski, col. 4, l.60-64, col.10, l.48-67).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate of Wanderski's structure for providing the preferences of a user, and a device type with Jamtgaard's customized device type.

The motivation would be to combine Wanderski's structure for providing the preferences of a user, and a device type with Jamtgaard's customized device type and support Jamtgaard intelligent harvesting and navigation system for the transformation system. and

- Presentation tag indicating content presentation attributes (Jamtgaard, col.3, l.2-8, col.5, l.29-34); and
- The transformation engine converts the content to a document object model (DOM) in which nodes correspond to document tag, and transforms the document object model by parsing tags indicating blocks of content and deciding on transformation on a block-by-block basis according to the task and intention tags (Jamtgaard, col.8, l.54-67, col.6, l.1-10, col.11, l.50-67).

3. Regarding claim 6, Jamtgaard further discloses the e-business mobility platform as claimed in claim 1 wherein the transformation engine comprises means for combining content by combining DOMs generated from different incoming content streams (Jamtgaard, fig.3, col.6, l.10-31).
4. Regarding claim 7, Wanderski discloses the e-business mobility platform as

- claimed in claim 1, wherein the transformation engine comprises means for applying user preferences to the device-format content (Wanderski, col. 4, l.60-64, col.10, l.48-67).
5. Regarding claim 8, Wanderski further discloses the e-business mobility platform as claimed in claim 7, wherein said preferences are applied by dynamically retrieving preference data from a user database and modifying the content accordingly (Wanderski, col.11, l.5-18 ).
  6. Regarding claim 9, Jamtgaard further discloses the e-business mobility platform as claimed in claim 1, wherein the transformation engine comprises means for dynamically activating providers in series for a session, said providers being for performing a transformation-related function (Jamtgaard, col.7, l.48-67, col.8, l.1-24).
  7. Regarding claim 10, Jamtgaard further discloses the e-business mobility platform as claimed in claim 9, wherein at least one provider comprises means for caching reusable intermediate data captured from a stream of content being transformed, and at least one other provider comprises means for using cached data (Jamtgaard, col.18, l.5-40, which palm pilot and cellular phone corresponds to the information reusable by these two presentation handlers).
  8. Regarding claim 11, Jamtgaard further discloses an e-business mobility platform As claimed in claim 10, wherein a provider comprises means for caching user preference data (Wanderski, col.7, l.40-48 ).

9. Regarding claim 12, Jamtgaard further discloses an e-business mobility platform  
As claimed in claim 1, wherein the platform comprises a database system and  
all functions of the platform comprises means for accessing said database system  
via accessors each dedicated to a data type (Jamtgaard, col. 6, l.41-43, col.10,  
l.26-36).
10. Regarding claim 13, Jamtgaard further discloses the e-business mobility platform  
As claimed in claim 12, wherein the data types include user, group, and device  
Data types (Jamtgaard, col. 6, l.41-43, col. 8, l.36-50).
11. Regarding claim 14, Jamtgaard further discloses an e-business mobility platform  
As claimed in claim 1, wherein the platform comprises a messaging system  
comprising means for controlling communication within the platform by passing  
objects representing events between functions (Jamtgaard, col. 8, l.17-25).
12. Regarding claim 15, Jamtgaard further discloses the e-business mobility platform  
As claimed in claim 1, wherein the user device interface comprises means for  
causing a session manager to generate a session object upon receipt of a  
user device request, and said session object comprises means for controlling full  
execution of the session until delivery of the requested content even if the user  
device changes (Jamtgaard, col. 7, l.30-47).
13. Regarding claim 16, Jamtgaard further discloses an e-business mobility platform  
as claimed in claim 15, wherein the session manager comprises means for  
maintaining a list of sessions for each user and for caching the associated  
content (Jamtgaard, col.6, l.32-53).



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14. Regarding claim 17, Jamtgaard further discloses an e-business mobility platform  
As claimed in claim 15, wherein the device interface comprises a device detection function for detecting device attributes, and the session manager comprises means for using said attributes to create a session object (Jamtgaard, col. 4, l.58-67, col.5, l.1-6).
15. Regarding claim 18, Jamtgaard further discloses an e-business mobility platform  
As claimed in claim 17, wherein the device detection function comprises means for accessing a hierarchical device database to retrieve device attributes (Jamtgaard, col. 6, l.10-53).
16. Regarding claim 20, Jamtgaard further discloses a computer program product comprising software code for completing a platform as claimed in Claim 1 when executing on a digital computer (Jamtgaard, col. 4, l.34-58).
17. Regarding claim 21, Jamtgaard further discloses an e-business mobility platform  
Comprising:
  - a request handler interface for communicating with a user devices to receive a request for content and for downloading the content to the requesting user device in a format suitable for the user device, (Jamtgaard, col.7, l.30-45).
  - a content interface for communicating with content servers to retrieve requested content (Jamtgaard, col.7, l.35-40, col.9, l.48-51),

- a transformation engine for dynamically transforming content received from the content server in real time to a suitable format for the user device, and for routing the transformed content to the request handler interface for download to the requesting user device to complete a transaction initiated by the request for content, the transformation engine performing said transformation according to (Jamtgaard, col.10, l.48-67, col.11, l.1-3) –
- intention tag which are in the content and which capture non-presentation properties of the content as intended by an author, including indicating relationship between blocks of content to be preserved in the transformed content (Jamtgaard, fig.9, figs. 11, col.11, l.50-61, col.13, l.37-64).
- task tag which are in the content and which indicate blocks of the content which are optional or alternative for user device types (Jamtgaard, col.11, l.2-3), and
- presentation tag indicating content presentation attributes (Jamtgaard, col.3, l.2-8, col.5, l.29-34); and
- the transformation engine converts the content to a document object model in which nodes correspond to document tag, and transforms the document object model by parsing tags indicating blocks of content and deciding on transformation on a block-by–

block basis according to the task and intention tags (Jamtgaard, col.8, l.54-67, col.6, l.1-10, col.11, l.50-67),

Jamtgaard fails to teach the continuity and store intermediate data as it pass to provider. However, Wanderski discloses the e-business mobility platform

- wherein the transformation engine maintains continuity of a session if the user device changes during the transaction by changing a session parameter identifying the user device type in a database (Wanderski, col.11, l.5-18);

It would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate Wanderski's structure for providing dynamic change of the device, .. network bandwidth limitation , and user preference with applying user preference with Jamtgaard's structure of the device format content.

The motivation would be to combine the Jamtgaard's intelligent harvesting and navigation system with Wanderski's DTD dynamic generation and makes the transformation engine access more effectively.

- wherein the transformation engine identifies the type of content requested and subsequently identifies a set of data and content manipulation providers and a sequence for applying the providers to complete the transaction (Jamtgaard, col.12, l.35-64); and

- wherein cache providers of the transformation engine access and store intermediate data as it passes from one content manipulation provider to a next content manipulation provider (Wanderski, col.7, l.49-67, col.8, l.1-18).

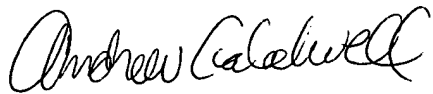
### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kelvin Lin whose telephone number is 571-272-3898. The examiner can normally be reached on Flexible 4/9/5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Rupal Dharia can be reached on 571-272-3880. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

03/07/06  
KYL

  
**ANDREW CALDWELL**  
SUPERVISORY PATENT EXAMINER